



# A BAG OF USEFUL TRICKS FOR PRACTICAL NEURAL MACHINE TRANSLATION

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M. Neishi\*, J. Sakuma\*, S. Tohda\*, S. Ishiwatari (The University of Tokyo) \*Contributed Equally

N. Yoshinaga, M. Toyoda (IIS, the University of Tokyo)



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# Overview 01



## Original Paper

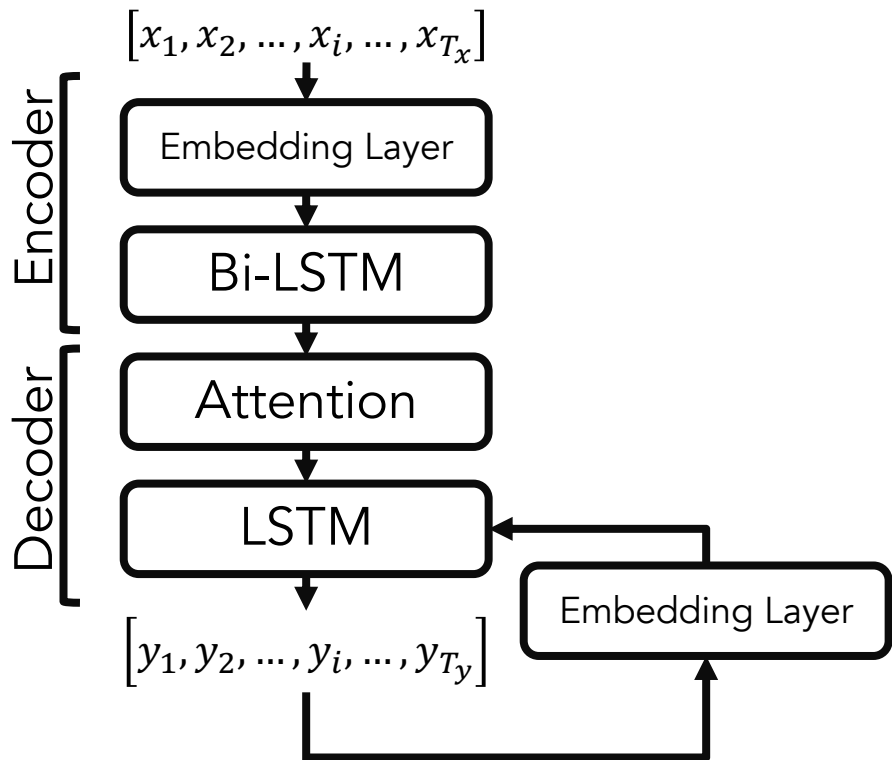
- A system description paper for The 4<sup>th</sup> Workshop on Asian Translation (WAT 2017)

## Summary

- Proposed novel tricks for Neural Machine Translation (NMT)
  - Model-independent
  - Easy to apply
- Apply all the possible tricks to a vanilla NMT system
- Outperformed best score of WAT 2016



# System Overview



**Task:**

ASPEC En-Ja Translation

**Model:**

Seq2seq model with attention  
[Bahdanau+, 2015]

**+ Model Independent Tricks**

# Approaches

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- Trick used when:
    - Training the model
      - Adam Optimization [Kingma and Ba, 2015]
      - Sub-word Translation (SentencePiece)
      - **Embedding Layer Initialization**
      - **Large Batch Size**
    - Prediction
      - Exhaustive Ensemble Search
      - Beam Search
- Novel Tricks**

# Proposed Tricks 02



# **Novel Tricks for a Better Optimum**

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- **Embedding Layer Initialization:**

Good initialization should lead to fast convergence to a good local optimum

- **Large Batch Size:**

Tested improvements for sizes up to **512 sents**

# **Novel Tricks for a Better Optimum**

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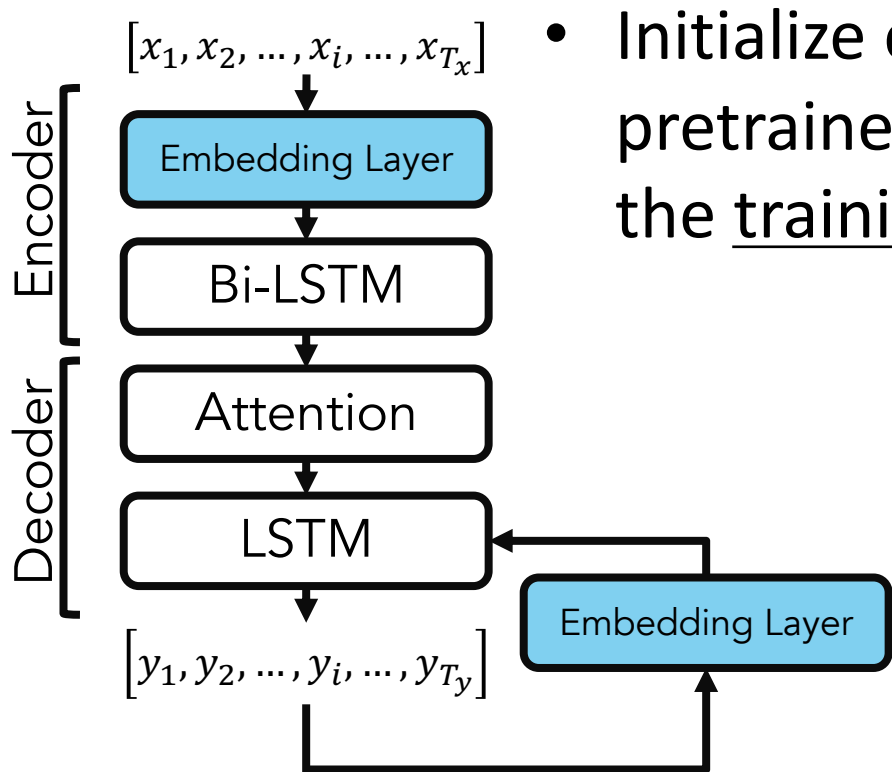
- **Embedding Layer Initialization:**

Good initialization should lead to fast convergence to a good local optimum

- **Large Batch Size:**

Tested improvements for sizes up to **512** sents

# Embedding Layer Initialization



- Initialize embedding layers with pretrained embeddings induced from the training data

Pretraining on a large external corpus  
[Ramachandran+ 2017]

Easy to apply:

- No additional resources
- Very quick pretraining



# Novel Tricks for a Better Optimum

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- Embedding Layer Initialization:

Good initialization should lead to fast convergence to a good local optimum

- **Large Batch Size:**

Tested improvements for sizes up to **512 sents**

# Small Batch makes Update Noisy

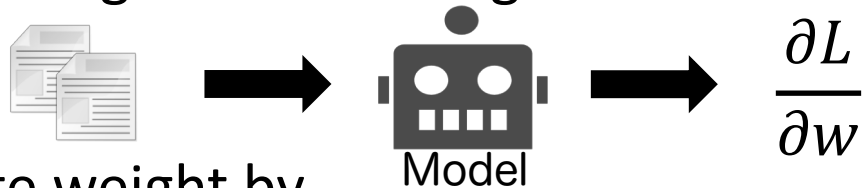
In a step of SGD (and its variance):

1. Take small portion of data (batch)



32  
sents

2. Compute gradient of weights on batch



Noisy  
gradient

3. Update weight by

$$w \leftarrow w - \frac{\partial L}{\partial w}$$

Noisy  
update

# Small Batch makes Update Noisy

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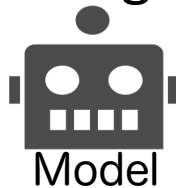
sample  
→



~64 sentences  
[Morishita+ 2017]

32~512  
sents

2. Compute gradient of weights on batch



$$\frac{\partial L}{\partial w}$$

Less noisy  
gradient

3. Update weight by

$$w \leftarrow w - \frac{\partial L}{\partial w}$$

Less noisy  
update

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# Experiments 03



## **1. Effect of Initialization Methods:**

Will the proposed method speed up convergence and improve translation quality?

## **2. Effect of Large Batch Size:**

Will large batch sizes (32 to 512) improve translation quality?

# Experiment Setup

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## – Training

- 200k steps (save checkpoint at every 2k)
- Checkpoint with highest BLEU score (in dev) is used in evaluation

## – Evaluation

- KyTea segmentation to compute the BLEU score
- Greedy search for experiments



# Experiments

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## **1. Effect of Initialization Methods:**

Will the proposed method speed up convergence and improve translation quality?

## **2. Effect of Large Batch Size:**

Will large batch sizes (32 to 512) improve translation quality?

# Effect of Initialization Methods

## – Purpose:

Investigate the effect of embedding layer initialization using CBOW embeddings

Best performance among:

CBOW [Mikolov+ 2013]

Skip-gram [Mikolov+ 2013]

GloVe [Pennington+ 2014]

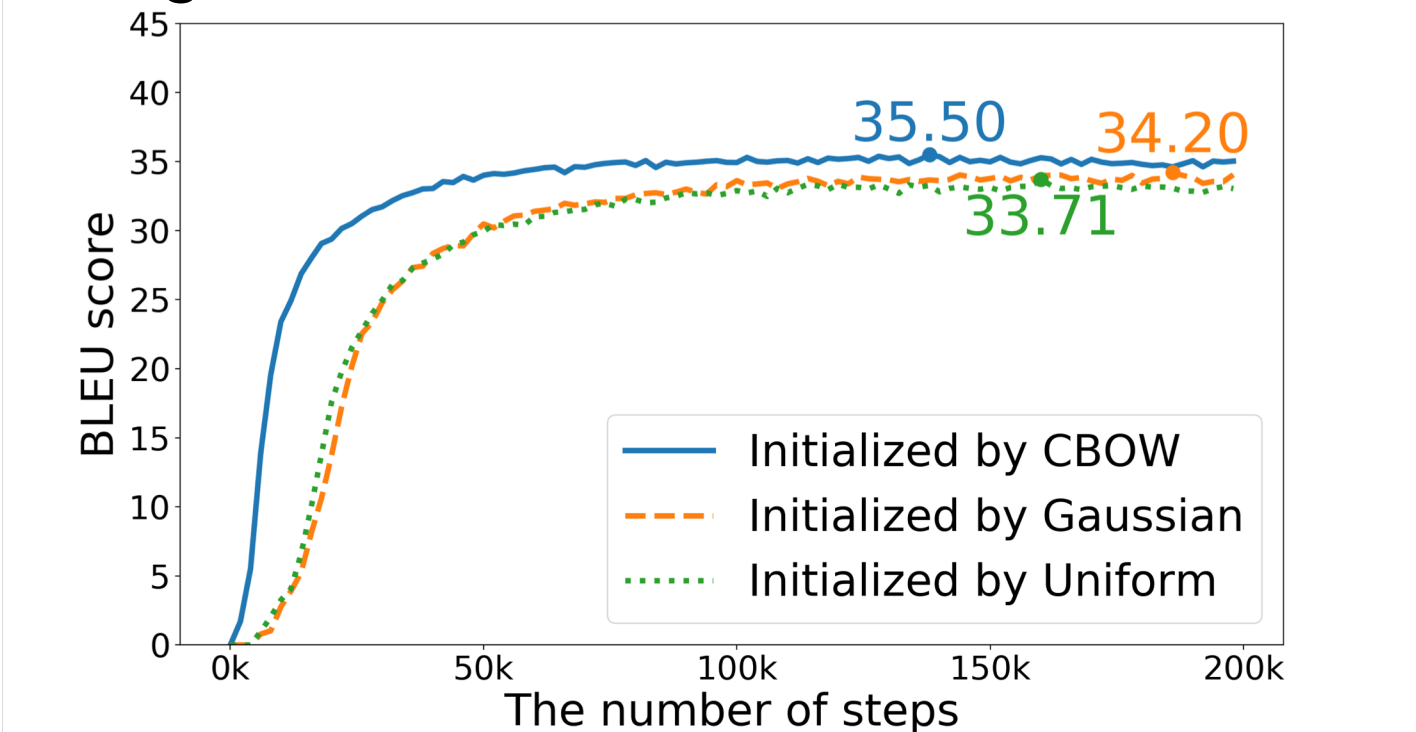
SI-Skip-gram [Bojanowski+ 2017]

## – Compare:

- CBOW embeddings
- Random initialization (Gaussian Distribution)
- Random initialization (Uniform Distribution)

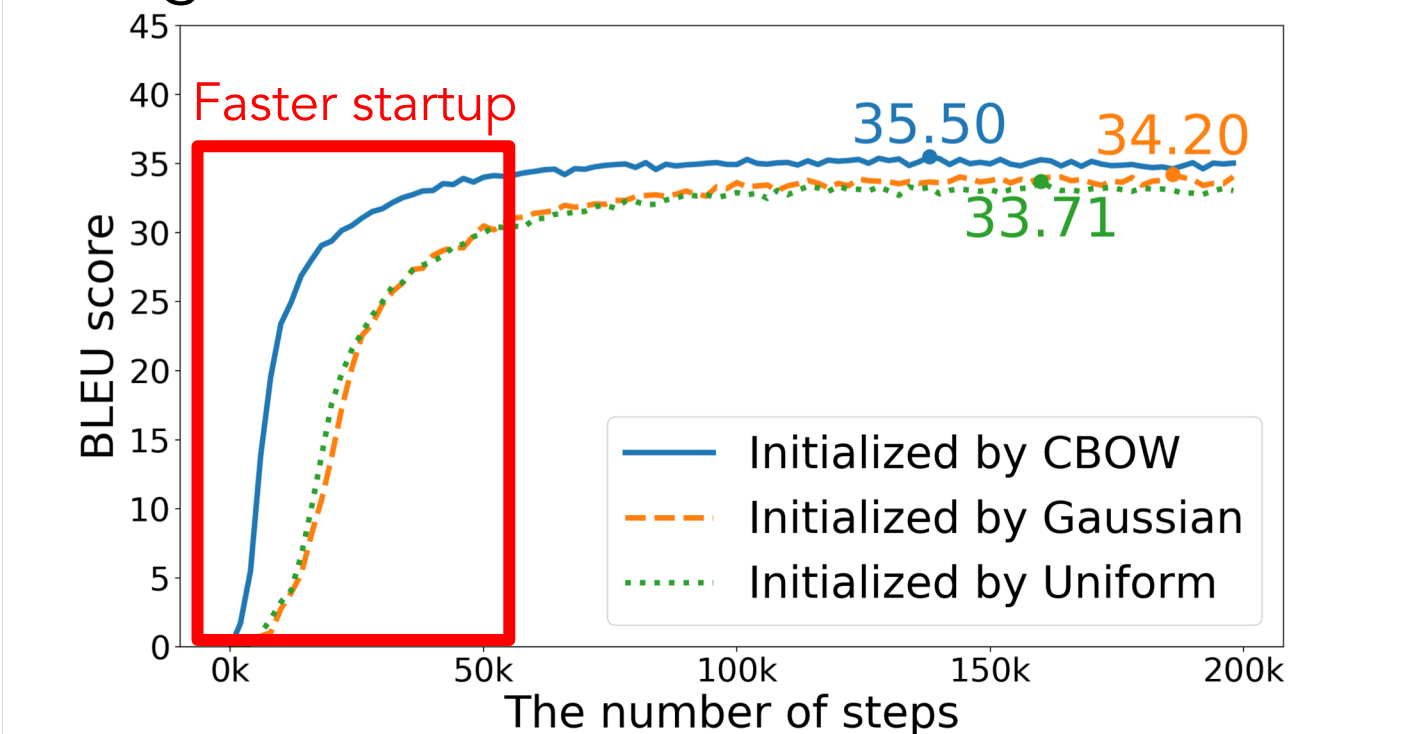
# AU Effect of Initialization Methods: Results

Training curves for different initialization methods



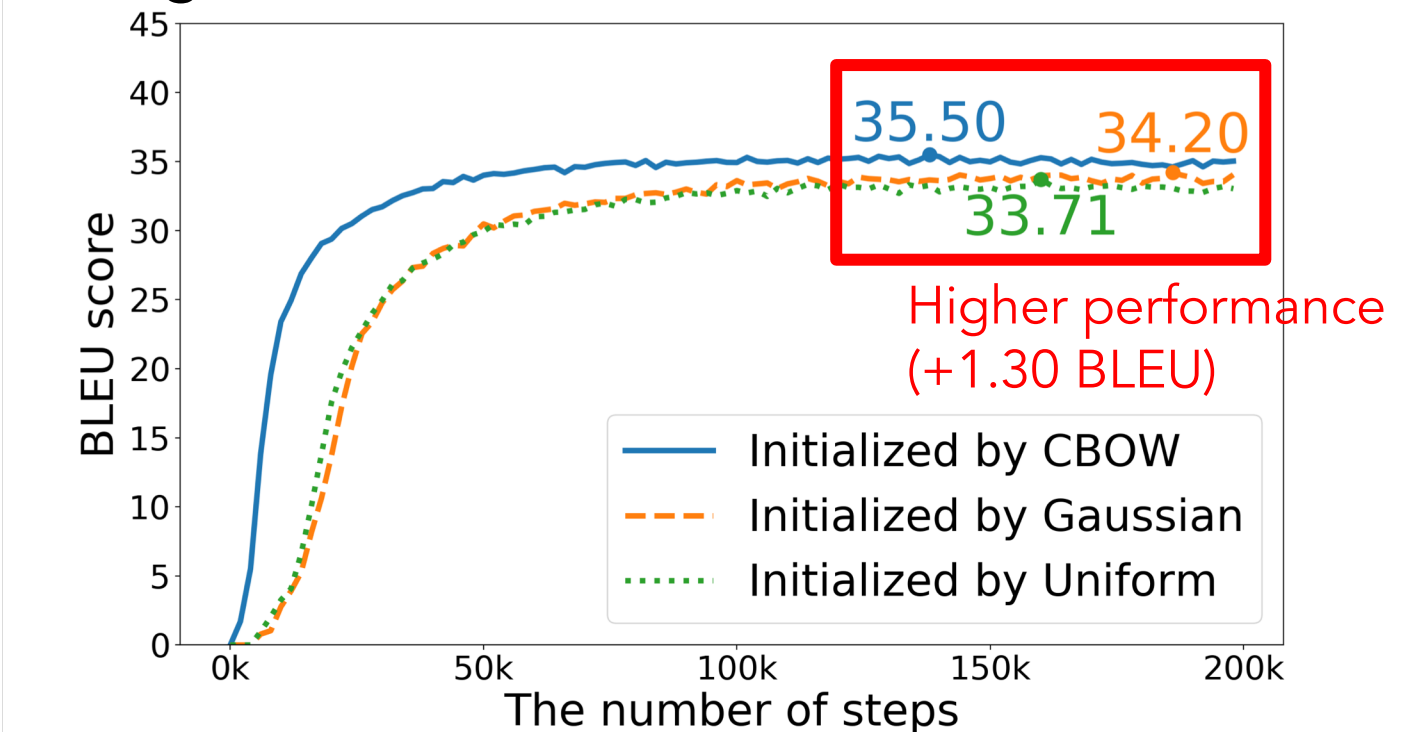
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# Effect of Initialization Methods: Results

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# Experiments

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## 1. Effect of Initialization Methods:

Will the proposed method speed up convergence and improve translation quality?

## 2. Effect of Large Batch Size:

Will large batch sizes (32 to 512) improve translation quality?





# Effect of Large Batch Size

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- Purpose:

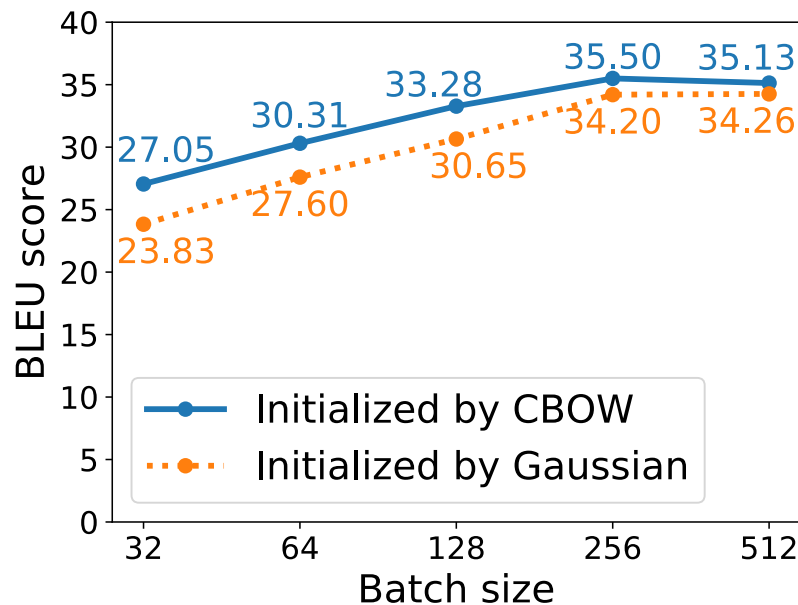
- Investigate the effect of large batch size

- Compare:

- Batch sizes: 32, 64, 128, 256, 512
  - Initialization methods: CBOW, Gaussian

# AU Effect of Large Batch Size: Results

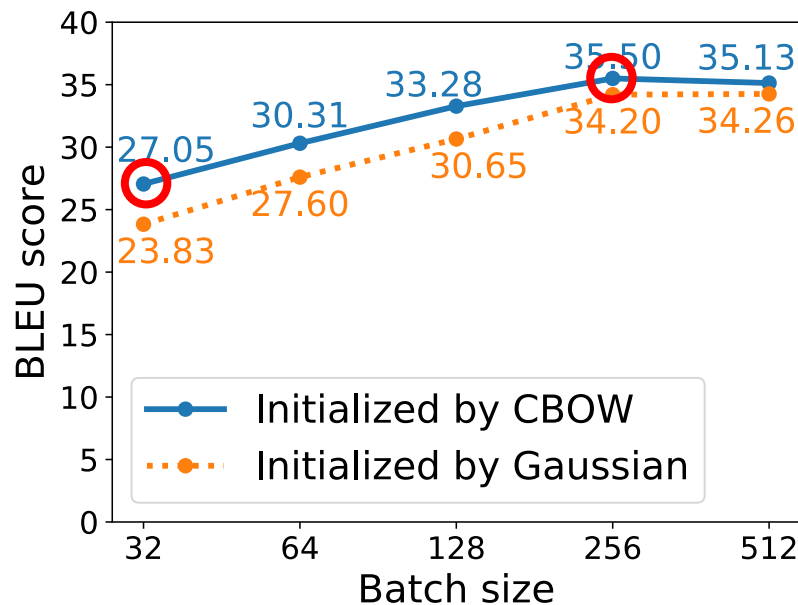
Performance at highest BLEU for each model



Larger batch size leads to higher BLEU score until 256

# AU Effect of Large Batch Size: Results

Performance at highest BLEU for each model

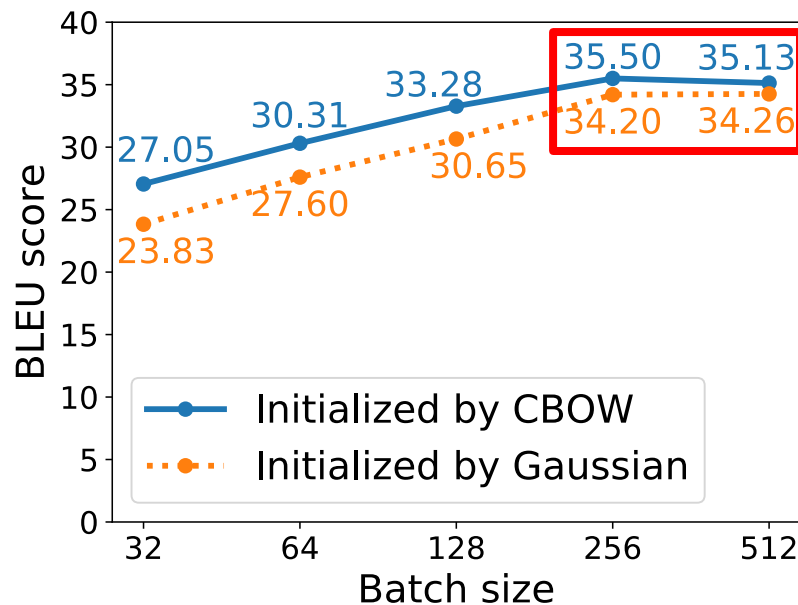


**+8.45 BLEU**

Larger batch size leads to higher BLEU score until 256

# AU Effect of Large Batch Size: Results

Performance at highest BLEU for each model



Saturates at 256

Larger batch size leads to higher BLEU score until 256

# Tradeoff of Large Batch Size

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- Pros:
  - Better translation performance
- Cons:
  - Higher memory consumption
    - Titan X/Xp (12GB RAM) not enough for batch size 512
  - Slower convergence
    - Training of 512 batch size takes 7 days (c.f. batch size 256: 3 days)
- Rule of thumb: 256 performs well and trains in an acceptable time



# BLEU Gains by Two Tricks

Batch Size	Initialization	BLEU Score	Gain
32	Gaussian	23.83	-
32	<b>CBOW</b>	27.05	+4.86
<b>256</b>	Gaussian	34.20	+10.37
<b>256</b>	<b>CBOW</b>	35.50	+11.67

By combining these two tricks, we gained **+11.67** BLEU score



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# Model with All Tricks 04



# Prediction Tricks

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To further improve translation quality, we implemented these techniques for prediction:

- **Exhaustive Ensemble Search:**

Search all combinations of models for the best performance when combined

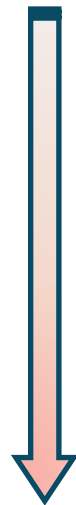
- **Beam Search:**

Keep multiple hypothesis sentences to get the best prediction on the model ensemble

# Summary of Approaches

- Impact of tricks on the BLEU score

Tricks	BLEU (dev)	Gain
Baseline (existing tricks)	23.83	-
+ Embedding Layer Initialization	27.05	+3.22
+ Large Batch Size	35.50	+11.67
+ Exhaustive Ensemble Search	38.00	+14.17
+ Beam Search (width=256)	39.03	+15.20



Tricks have an additive effect on translation quality

# Summary of Approaches

- Impact of tricks on the BLEU score

Tricks	BLEU (dev)	Gain
Baseline	38.71	0.00
+ ...	38.93	+.22
+ ...	39.60	+.67
+ ...	39.77	+.17
+ Beam Search (width=256)	39.93	+15.20

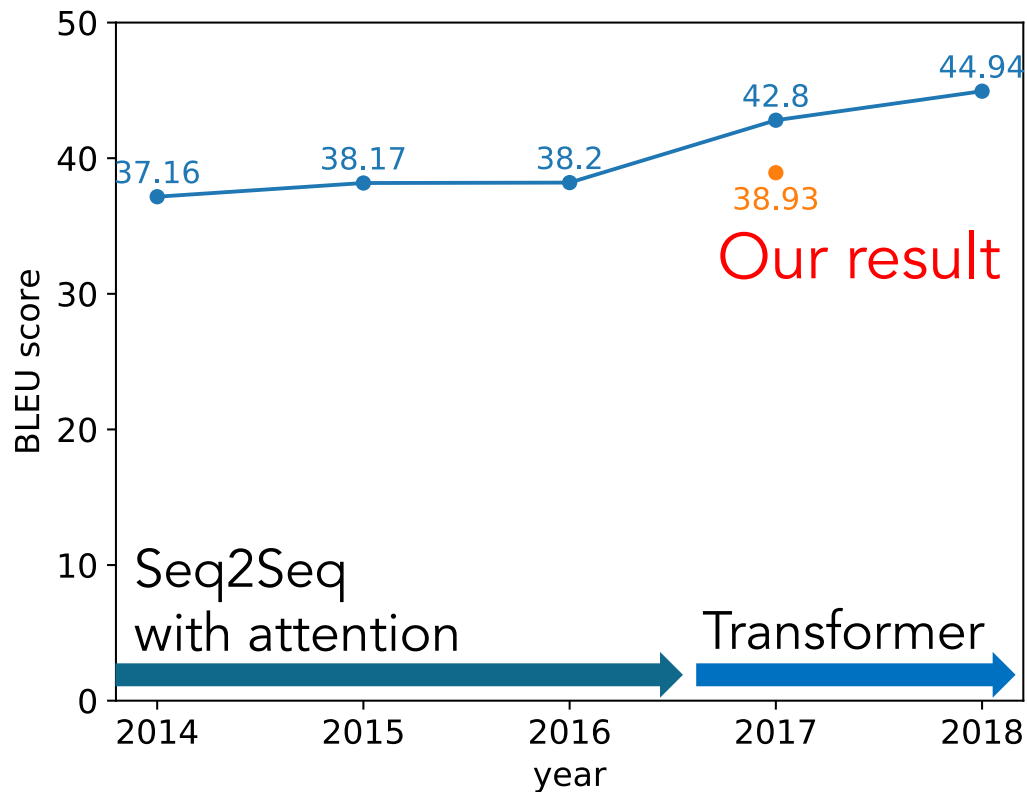
System Performance

BLEU (KyTea) (Test)	38.93
Human Evaluation	68.000

Tricks have an additive effect on translation quality



# Transition of best score in WAT



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# Conclusion 05



# Conclusion

- Demonstrated improvements with:

- Training the model

- Adam Optimization
    - Sub-word Translation
    - Embedding Layer Initialization
    - Large Batch Size

Novel tricks: leads to a better local optimum

- Prediction

- Exhaustive Ensemble Search
    - Beam Search

Improves upon proposed tricks