

# On the Relation between Position Information and Sentence Length in Neural Machine Translation



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Code available: [https://github.com/nem6ishi/conll19\\_relative\\_transformer](https://github.com/nem6ishi/conll19_relative_transformer)

## Summary

- Problem:** NMT has difficulty in translating long sentences.  
**Hypothesis:** Word position encoding significantly affects the performance.  
Relative (ex. RNN) vs. Absolute (ex. Positional Encodings)  
**Conclusion:** Relative position is better and prevents overfitting to the sentence length.

## 1. Background

- ◆ **Long sentence:** A major problem in NMT
- **Attention mechanism** helps RNN-based NMT model to mitigate this problem. [Bahdanau+, 2015; Luong+, 2015]
- **RNN-based NMT < Phrase-based SMT** in translating very long (>80) sentences. [Koehn and Knowles, 2017]

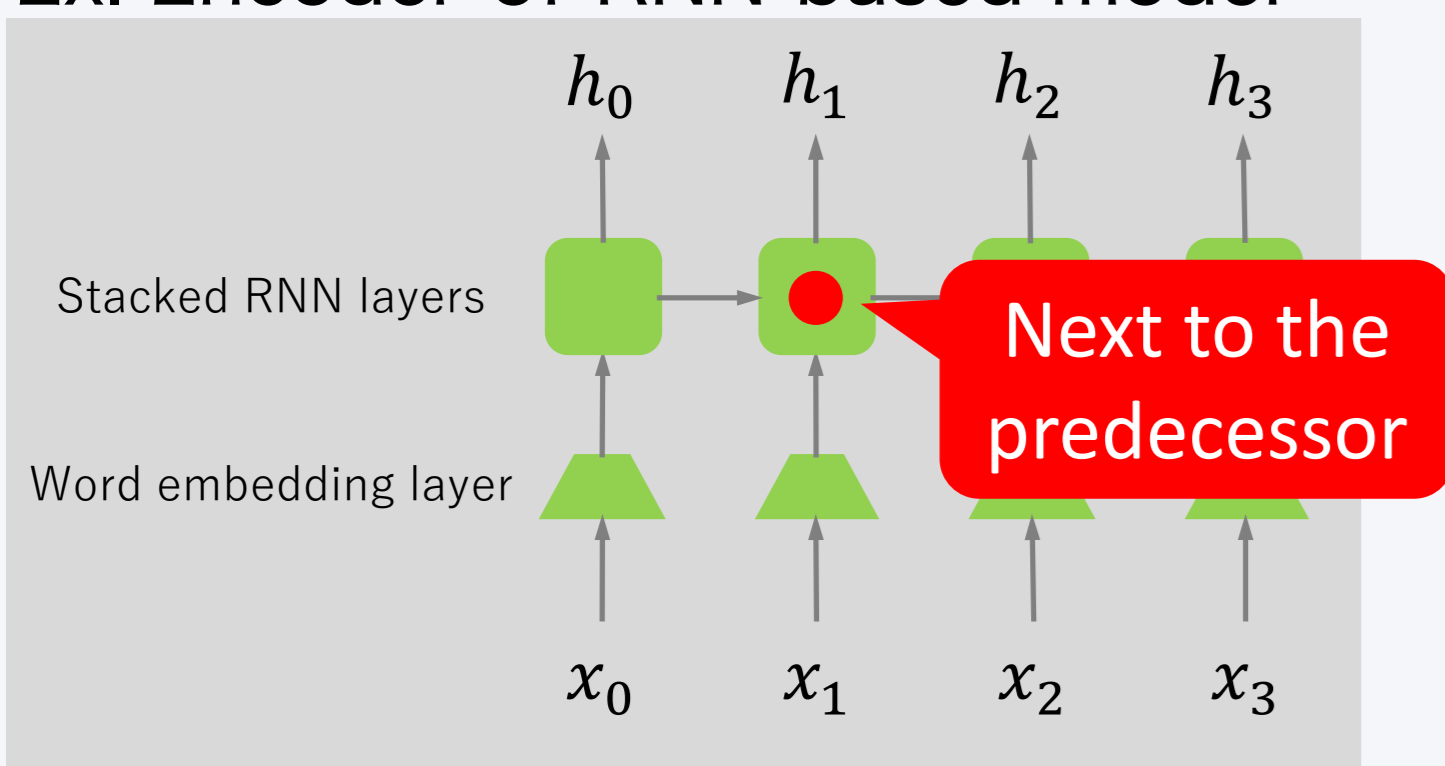
❓ **Does Transformer [Vaswani+ 17], NMT model superior to RNN-based one, work well for long sentences?**  
 - **No**, it is worse. (cf. §5)

## 2. Preliminary: Type of position information

Transformer and RNN-based NMT differ in position information to handle variable-length input.

### ◆ Relative position

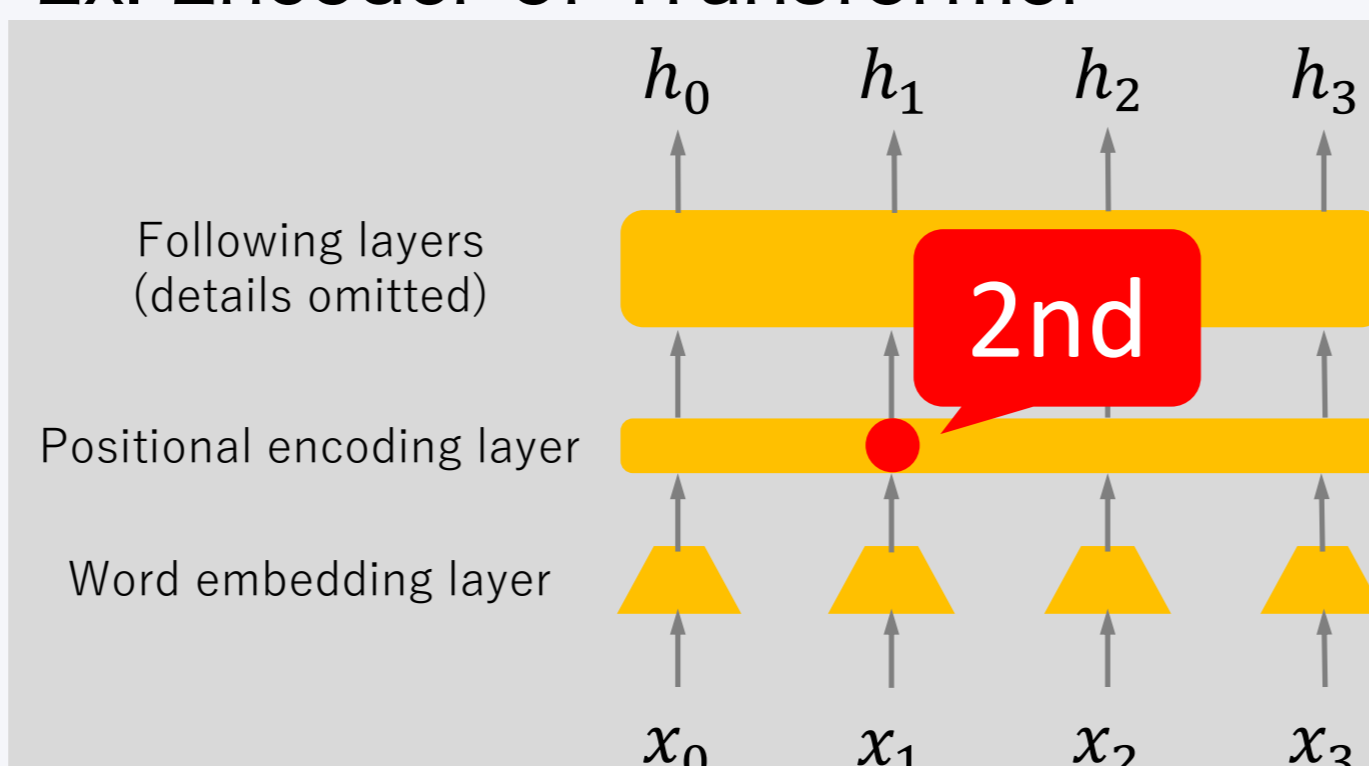
Ex. Encoder of RNN-based model



☺ No explicit position representations to learn.

### ◆ Absolute position

Ex. Encoder of Transformer



☹ Need to learn to process the position vector.  
 ☹ Less chance to learn large positions.

### Hypothesis

The type of position information significantly affects the translation of long sentences.

## 3. Approach: Transformer with Relative Position

❗ **Compare the types of position information using Transformer.** Position information customizable!

### ◆ [Shaw+. 2018]: Self-attention with relative position

- Introduce relative position vectors into self-attention process (and remove positional encoding layer).
- ☹ Need to learn to process the position vector,
- ☺ but more chance to learn large position.

[The modified self-attention process]

$$z_i = \sum_{j=1}^n \alpha_{ij} (x_j W^V + w_{j-i}^V), \quad \alpha_{ij} = \frac{\exp e_{ij}}{\sum_{k=1}^n \exp e_{ik}}, \quad e_{ij} = \frac{x_i W^Q (x_j W^K + w_{j-i}^K)^T}{\sqrt{d_z}}$$

### ◆ Proposal: RNN as a Relative Positional Encoder

- Replace positional encoding layers by RNN.

[Original]

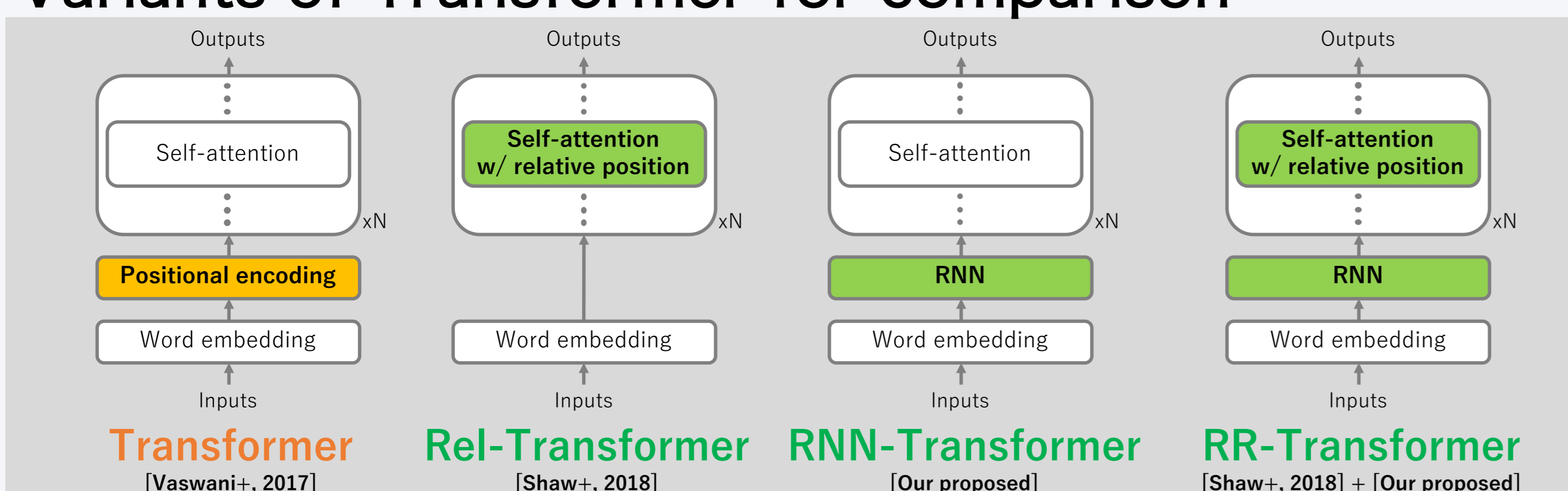
$$wv'_i = wv_i + \text{PositionalEncoding}(i)$$

Fixed vector using sine & cosine functions.

[Proposed]

$$wv'_i = h_i = \text{GRU}(wv_i, h_{i-1})$$

## Variants of Transformer for comparison



\*Modifications are applied to both encoder & decoder.

## 4. Experimental Settings

- ◆ **Models and their types of position information:**
  - **RNN-NMT** [Luong+, 2015], (**Relative**)
  - **Transformer** (**Absolute**) and its three variants (**Relative**)  
 \*The number of parameters set to be almost equal.
- ◆ **Datasets (preprocessed):**
  - WMT2014 English-to-German (3.7M sentences)
  - ASPEC English-to-Japanese (1.2M sentences)  
 \*Sentences longer than 49 tokens are filtered out.

## 5. Result & Analysis

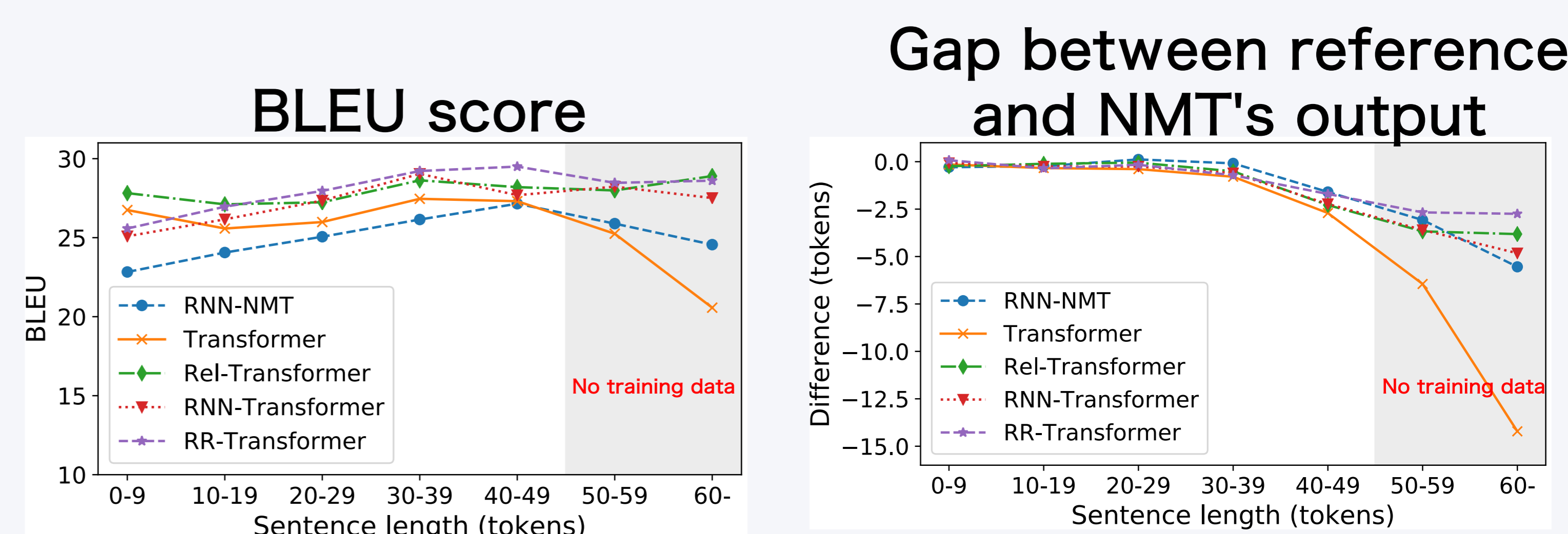
### ◆ BLEU score [Papineni+, 2002]

	WMT2014 En-De	ASPEC En-Ja
<b>RNN-NMT</b>	19.95	36.67
<b>Transformer</b>	21.00	38.44
<b>Rel-Transformer</b>	22.51	39.58
<b>RNN-Transformer</b>	22.35	39.17
<b>RR-Transformer</b>	<b>23.01</b>	<b>40.34</b>

- Among Transformers, **Relative** beats **Absolute**.
- **RR-Transformer** performs the best.

[Analysis on WMT2014] (See our paper on ASPEC)

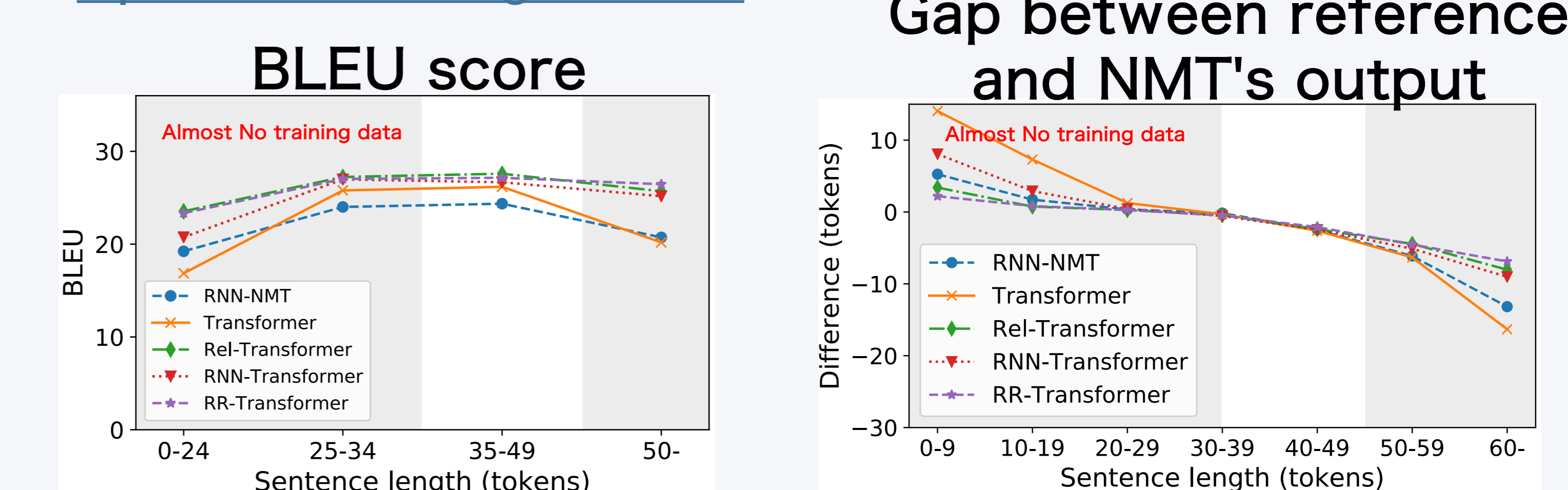
### ◆ Evaluation on test data split by input length



- **Transformer** fails to translate long sentences, and **overfits to short input sentences** in the training data.
- **Relative** position avoids this overfitting.

❓ **Does Transformer overfit to short input sentences only?**

### ◆ Results when trained on length-controlled data Input sentence length: 34-49



- **Transformer overfits to the lengths of input sentences** in the training data.

## 6. Conclusion

- **Relative** position shows better translation quality while **Absolute** position causes overfitting.

✓ TAKE AWAY: **Use Relative position in NMT.**